



2875

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian N. Tufte

Serial No.: 10/075,489

Examiner: unknown

Filing Date: February 12, 2002

Group Art Unit: unknown

For: LIGHTING APPARATUS

Docket No.: 1076.1101108

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TECHNOLOGY CENTER 2600

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

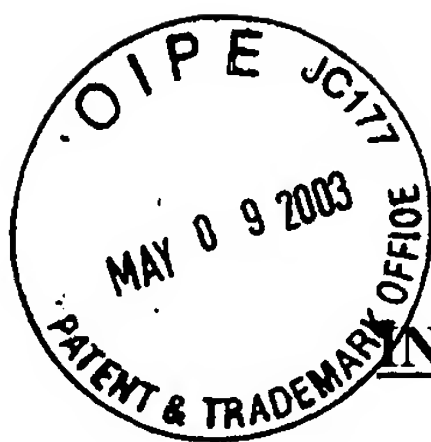
**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,  
on this 6th day of May, 2003.

By: Brian N. Tufte

We are transmitting herewith the attached:

- ☒ [X] Information Disclosure Statement, Form PTO-1449 and 37 cited references.
- ☒ [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Brian N. Tufte  
Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,  
on this 6th day of May, 2003.

By: Brian N. Tufte  
Brian N. Tufte

Dear Sirs:

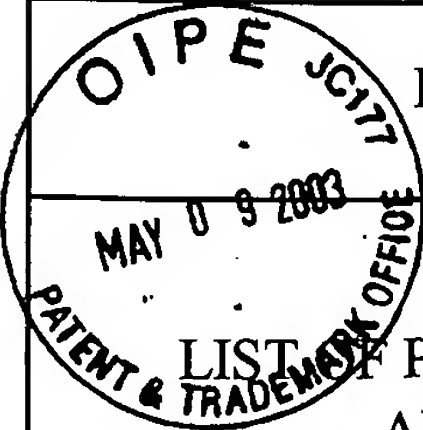
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: May 6, 2003

Brian N. Tufte  
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 <b>FORM PTO-1449</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket No.: 1076.1101108	Serial No.: 10/075,489
	Applicant: Brian N. Tufte	
	Filing Date: February 12, 2002	Group Art: unknown

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	1,647,014	10/1927	Pattison			
	1,729,274	09/1929	Millar			
	1,972,283	09/1934	Zimmers			
	2,525,001	10/1950	Sherwood			
	2,587,807	03/1952	Arenberg et al.			
	2,959,146	11/1960	Erkert			
	3,140,111	07/1964	Dabroski			
	3,196,265	07/1965	Schneider			
	3,197,189	07/1965	Pemper et al.			
	3,317,722	05/1967	F. L. Whitney			
	3,359,030	12/1967	Newman			
	3,473,836	10/1969	Halter			
	3,639,748	02/1972	Pearson et al.			
	3,865,358	02/1975	Butters			
	4,107,767	08/1978	Anquetin			
	4,213,644	07/1980	Scrivo et al.			
	4,270,787	06/1981	Savell			
	4,376,966	03/1983	Tieszen			
	4,947,293	08/1990	Johnson et al.			
	4,996,634	02/1991	Haneda et al.			
	5,096,753	03/1992	McCue et al.			
	5,434,013	07/1995	Fernandez			

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	Atty. Docket No.: 1076.1101108	Serial No.: 10/075,489
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Brian N. Tufte	
	Filing Date:	Group Art:
	February 12, 2002	unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,499,170	03/1996	Gagne			
	5,523,923	06/1996	Stowe, Jr.			
	5,613,750	03/1997	Roe			
	5,678,914	10/1997	Dealey et al			
	5,711,592	01/1998	Hotta			
	5,775,016	07/1998	Chien			
	6,019,476	02/2000	Kischner			
	6,050,211	04/2000	Yamaguchi			
	6,113,246	09/2000	Rub			
	6,120,160	09/2000	Nakagawa			
	6,152,586	11/2000	Dealey et al.			
	6,231,217	05/2001	Krippelz, Sr.			
	6,364,293	04/2002	Beckett			
	6,450,678	09/2002	Bayersdorfer			
	6,461,028 B1	10/2002	Huang			

EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.